

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re application of: Kineo MATSUI

Attorney Docket No.: MES1P041

Application No.: 09/830,236

Examiner: Unassigned

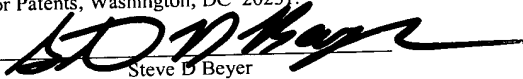
Int'l Filing Date: October 26, 1999

Group: Unassigned

Title: METHOD OF EMBEDDING DIGITAL
WATERMARK AND METHOD OF DECODING
EMBEDDED DIGITAL WATERMARK

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on July 16, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL580853445US, addressed to the Commissioner for Patents, Washington, DC 20231.


Steve D. Beyer

**COMPLETION OF FILING REQUIREMENTS FOR INTERNATIONAL APPLICATION
ENTERING NATIONAL STAGE IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35
U.S.C. 371**

Box PCT
Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements mailed on May 22, 2001,
enclosed herewith are the following:

- ☒ Oath or Declaration of inventor(s) for DO/EO/US
- ☒ Surcharge set forth in 37 CFR § 1.492(e) for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date--\$130/\$65
- ☐ Translation of the international application into English
- ☐ Processing fee set forth in 37 CFR § 1.492(f), for acceptance of an English translation later than the appropriate 20 or 30 months after the priority date --\$130.00

Also enclosed are:

- ☒ An Assignment of the invention to:
KOWA CO., LTD.
- ☒ Assignment Recordation Form
- ☒ A copy of the Notification of Missing Requirements form

Enclosed is our Check No. 11823 for \$240.00 in payment of the surcharge and one-month extension of time fee. Also enclosed is our Check No. 11824 for the assignment recording fee. The Commissioner is authorized to charge any other fees that may be due to our Deposit Account No. 500388 (Order No. MES1P041).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP



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07/19/2001 UEDUVIJE 00000168 09830236

01 FC:154
02 FC:115

130.00 OP
110.00 OP



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/830236	MATSUI K	MES 1P041
INTERNATIONAL APPLICATION NO.		
PCT/JP99/05924		
I.A. FILING DATE	PRIORITY DATE	
26 OCT 99	27 OCT 98	

DATE MAILED: 22 MAY 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
- | | |
|--------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Indication of Small Entity Status. |
| <input checked="" type="checkbox"/> Copy of the international application. | <input type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of Inventors(s). | <input type="checkbox"/> Translation of Article 19 amendments into English. |
| <input type="checkbox"/> Copy of Article 19 amendments. | <input type="checkbox"/> Other: |
| <input checked="" type="checkbox"/> Priority Document. | |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. | |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. | |
2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
- ☐ U.S. Basic National Fee. ☐ Copy of the international application.
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
4. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920

Vonda M. Wallace

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-3736